

Customer Account Transfer Form

Goldman, Sachs Execution & Clearing, L.P.

(GSEC) Retirement Plan / IRA Form

(1) Account Type: Indicate the type of account you are transferring (Check one.)

- | | | | |
|---------------------------------------|--|--|---|
| <input type="checkbox"/> IRA | <input type="checkbox"/> Beneficiary IRA | <input type="checkbox"/> SIMPLE IRA | <input type="checkbox"/> Direct Rollover (Qualified Plan to IRA Rollover) |
| <input type="checkbox"/> Rollover IRA | <input type="checkbox"/> Roth IRA | <input type="checkbox"/> SEP IRA | <input type="checkbox"/> Qualified / Profit Sharing |
| | | <input type="checkbox"/> Education IRA | <input type="checkbox"/> Qualified / Money Purchase |
| | | <input type="checkbox"/> Other: | <input type="checkbox"/> Qualified / Other |

(1) GSEC CLEARING NUMBER 0501		(2) CARRYING / DELIVERING FIRM CLEARING NUMBER	
(3) GSEC ACCOUNT NUMBER		(4) CARRYING / DELIVERING FIRM ACCOUNT NUMBER	
(5) GSEC ACCOUNT TITLE / REGISTRATION TRUSTAR FBO			
(6) NAME OF DELIVERING FIRM		(7) TAX ID / SSN FOR GSEC ACCOUNT	(8) TAX ID / SSN AT DELIVERING FIRM
(9) DELIVERING FIRM STREET ADDRESS		CITY	STATE ZIP CODE

Check Only One: (Please follow Delivery Instructions on the back of the form.)

- (10) **Full Account Transfer:** Transfer my **ENTIRE** account in kind and redeem Proprietary Money Market positions to cash.
- (11) **Full Liquidation:** Liquidate my entire account and transfer as cash. (Customers electing to liquidate must do so prior to submitting this form to GSEC)
- (12) **Partial Transfer or Mutual Fund Transfer:** Please follow the instructions listed. Use one form for each mutual fund company. Attach additional transfer forms if necessary, each form must have client's signature. Unless otherwise indicated, GSEC will transfer all shares.

NAME OF SECURITY	CUSIP / SYMBOL	# OF SHARES or "All"	TYPE OF TRANSFER	
			In Kind	Liquidate

(13) **Bank, Savings & Loan, Insurance Co., or Credit Union Transfers.** (Use one form for each account that you are transferring.)

- Transfer all cash in my account. Transfer only \$ _____.
- Transfer proceeds at Maturity. Maturity Date: _____ (Do not submit this form if the maturity date is more than 30 days in the future.)
- Liquidate CD and transfer proceeds immediately. I acknowledge that I may incur penalties for early withdrawal.
- Liquidate Insurance Annuity and transfer proceeds to GSEC. I acknowledge that I may incur surrender charges or other charges and penalties. (GSEC does not hold annuities in brokerage accounts.)

TO THE CARRYING FIRM NAMED ABOVE: Please be advised that I have adopted a retirement plan with GSEC / Trustar Retirement Services as Successor Custodian. Pursuant to my instructions above, please transfer my account assets to such successor custodian/trustee. I understand that to the extent any assets in my account are not readily transferable, with or without penalties, such assets may not be transferred within the time frames required by New York Stock Exchange Rule 412 or similar rule of the National Association of Securities Dealers or other designated examining authority. **Unless otherwise indicated,** I authorize you to liquidate any nontransferable proprietary money market fund assets that are part of my account and transfer the resulting credit balance to the successor custodian/trustee. I understand that if I choose a method of disposition of such assets other than liquidation and transfer, I may become liable for the payment of taxes and penalties with respect to such assets. I also understand that the above indicated carrying organization will contact me with respect to the disposition of any other assets in my account that are not transferable. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy any outstanding fees due you. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian/trustee to transfer them in its name for the purpose of sale, when and as directed by me.

For Full Transfers - The carrying organization will cancel all open orders for my account on its books upon receiving a copy of this transfer instruction.

If I am transferring a retirement account and I am over the age of 70 1/2, I attest that none of the amount to be transferred in my account includes my required minimum distribution for the current year pursuant to Section 401 (a) (9) of the Internal Revenue Code.

Combining Different Types of IRA Assets:
If I am transferring a Traditional or Rollover IRA that is a different type from the IRA I currently maintain at GSEC, I hereby authorize GSEC to combine or "commingle" my IRA Assets.

SPECIAL INSTRUCTIONS FOR TRANSFERRING RETIREMENT ACCOUNTS -

If you have checked the "Direct Rollover" box and the current custodian (carrying firm) is NOT an ACAT member, you cannot use this form. If you wish to transfer the assets of your qualified retirement plan(s) from one trustee to another, contact your tax advisor to determine if it is necessary to file form 5310-A prior to the transfer.

(14) A COPY OF YOUR MOST RECENT ACCOUNT STATEMENT FROM THE RESIGNING FIRM IS REQUIRED TO PROCESS THIS TRANSFER.

(15) CLIENT'S SIGNATURE (OR AUTHORIZED SIGNATURE)	DATE	MEDALLION SIGNATURE GUARANTEE
CLIENT'S SIGNATURE (IF MULTIPLE TRUSTEES)	DATE	
(16) Letter of Acceptance: To the prior trustee or custodian: Please be advised that <input type="checkbox"/> Trustar Retirement Services or <input type="checkbox"/> _____ will accept the account described herein as successor custodian.		AUTHORIZED SIGNATURE (CUSTODIAN'S USE ONLY)

How to Complete the Customer Account Transfer Form

(1)	Account Type:	Check only one. Check off the type of account that you are transferring your account into. Please note that for Direct Rollover transfers, this form only facilitates direct rollovers from Qualified Plans held at ACAT eligible firms into an IRA Rollover. Most direct rollovers must be done manually to ensure proper reporting of the rollover.
(2)	Delivering Firm's Clearing Number:	This is the ACAT/DTC clearing number of the firm that currently has your account. If you do not know the number, GSEC will supply it for you.
(3)	GSEC Account Number:	This is the GSEC account that will be receiving your assets.
(4)	Delivering Firm's Account Number:	This is the Account Title / Registration that your assets are transferring from. Only one brokerage account may be transferred per form. You must fill out separate transfer forms for each mutual fund family that you are transferring if you are transferring directly from the fund.
(5)	GSEC Account Title / Registration:	This is the registration of your receiving GSEC account. It should match the registration of your Account Title / Registration that was set up on the GSEC system.
(6)	Name of Delivering Firm:	Fill in the name of the firm that your account is currently with.
(7)	Tax ID / SSN:	Fill in the Social Security Number or Tax ID of your GSEC account.
(8)	Tax ID / SSN at the Delivering Firm:	This is the Tax ID or SSN that is on the account at the Delivering Firm from which the assets will be delivered.
(9)	Delivering Firm Street Address:	Provide the complete street address of the Delivering Firm. This is necessary so that GSEC can mail your transfer request to the correct address. Failure to provide the Delivering Firm's address can cause delays in the transfer process.
(10)	Full Brokerage Transfer:	Check this box only if you are going to transfer your entire brokerage account in kind, from the Delivering Firm to your account at GSEC. This will close your account at the Delivering Firm. If you checked this box, go down to Client Signature and sign the form. You have completed the transfer form and can now send it in to your investment professional at GSEC.
(11)	Full Liquidation:	Check this box only if you are going to liquidate your entire account and have all of the proceeds sent to your account with GSEC. This will close your account at the Delivering Firm. If you checked this box, go down to Client Signature and sign the form. You have completed the transfer form and can now send it in to your investment professional at GSEC. **Consult with your Tax Advisor before placing any trades.
(12)	Partial Transfer / Mutual Fund Account Transfer:	Check this box only if you want to transfer part of your assets from the Delivering Firm. This includes cash, securities and mutual funds if coming from a brokerage account. In the appropriate box provided, fill in the name of the assets you would like transferred, the symbol if you know it, the number of shares or "All" shares that you would like transferred, and specify if you would like the shares liquidated or transferred in kind. If you have accounts held directly with a mutual fund company, you must fill in a separate transfer form for each mutual fund company that you are transferring from.
(13)	Bank, Savings and Loan, Insurance Co., or Credit Union Transfers:	Check off the appropriate box that corresponds to how you would like these assets transferred. Transfer requests on assets that are maturing should be sent to GSEC between 2 to 4 weeks before maturity. Anything over 4 weeks may be returned to you.
(14)	You <u>MUST</u> provide a copy of your most recent statement:	(Should be no older than 3 months). Failure to do so could result in your paperwork being rejected or, if processed, delayed because the assets you hold in the delivering account do not correspond to the statement.
(15)	Client's Signature:	The person signing the form should match the name listed on the Account Title/ Registration of the account at the Delivering Firm and this should also match the name on the statement being provided. If the account is a qualified plan, you must include the signature of the plan administrator. If the person signing the form is not on the Account Title/ Registration at the Delivering Firm, the request will be rejected unless the supporting legal documents are provided that authorize this person to sign on behalf of the account.
(16)	Letter of Acceptance:	If you are transferring your account to a GSEC retirement account with GSEC as the Custodian, GSEC will complete this block. If you are transferring to a retirement account other than a GSEC retirement account, then someone authorized to sign on behalf of the receiving custodian must sign the Letter of Acceptance.