

Business continuity for disaster recovery is a high priority for Hudson Securities, Inc. Our goal is to ensure our continued ability to serve our clients and protect their assets and the people and assets of our firm. Our Business Continuity Plan has been developed to provide reasonable assurance of business continuity in the event there are disruptions of normal operations at the firm's facilities.

The firm has established a structured approach designed to ensure that the firm is prepared should a business disruption occur. This approach addresses business disruptions of varying scope and severity, which may include disruptions affecting individual branch locations, city-wide or industry-wide failures, and regional, national, or global events that affect our business.

No contingency plan can be failsafe or provide absolute assurances that an interruption in business will not occur or that negative consequences will not ensue from a crisis or event. Because natural and other disruptions – even if anticipated generally – are unpredictable and can change over time, a disruption may not have been fully anticipated when the plan was originally designed or later modified. That said, Hudson Securities, Inc. is committed to ensuring that its program is comprehensive and up to date particularly as new information, techniques, and technologies become available. We may alter, add to, or eliminate specific aspects of the program as we judge appropriate for the protection of all concerned. We will keep both our clients and our own community informed of pertinent changes.

In the event of a significant business disruption our firm intends to continue in business. The firm will make every effort to recover from business disruptions of varying intensities (such as a disruption to the building, the business district, the city, or the whole region) and resume business as soon as reasonably possible.

We have made arrangements for back-up facilities through our various vendors and service providers.

In the event of a significant business disruption, customers may find out information by logging onto our website: [www.hudsonsecurities.com](http://www.hudsonsecurities.com) .